

04/11/01
 3c772 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

☐ DUPLICATE

PTO
 09/029929
 04/11/01

Address to: Commissioner for Patents Box PATENT APPLICATION Washington, DC 20231	Attorney Docket No.	HORN3008/EM/6686
	First Named Inventor (or identifier)	Alex HORNG et al.
	Total Pages	27

Transmitted herewith is a patent application under 37 CFR 1.53(b).

Entitled:	Brushless DC Motor With Axial Winding/Axial Air Gap
-----------	--

- ☒ 1. Submitted herewith are the following:
- 12 pages of specification, including Claims and Abstract
 - 6 sheet(s) of formal drawings (Figs. 1-6)
 - 17 claim(s).
 - 1 Oath/Declaration signed by each inventor.
 - ☐ Preliminary Amendment.
 - ☐ Information Disclosure Statement(s).
 - ☐ pages of Form PTO-1449, and one copy of each document listed thereon.
 - 1 Assignment of the invention, Cover Sheet, and payment of the \$40.00 recordal fee.
 - ☐ certified copy of application no. _____ filed in _____. Priority is claimed.
 - 1 check in the amount of \$750.00 including any assignment recordal fee.
- ☐ 2. SMALL ENTITY STATUS IS ASSERTED pursuant to 37 CFR 1.27 for this application.
- ☒ 3. The Commissioner is authorized to credit any overpayment and charge any deficiency in any fees required under 37 CFR 1.16 and/or 1.17, to Deposit Account No. 02-0200.
- ☐ 4. Insert before the first sentence of the specification: -- This application claims the benefit of provisional application number _____ filed _____. --
- ☐ 5. Insert before the first sentence of the specification: -- This application is a Continuation-in-part of nonprovisional application number _____ filed _____. --
- ☒ 6. Other: Application Data Sheet

The registered practitioners representing applicant(s) are J. Ernest Kenney, Reg. No. 19,179; Eugene Mar, Reg. No. 25,893; Richard E. Fichter, Reg. No. 26,382; Thomas J. Moore, Reg. No. 28,974; Joseph DeBenedictis, Reg. No. 28,502; and Benjamin E. Urcia, Reg. No. 33,805.

THE FILING FEE IS CALCULATED AS FOLLOWS:				Basic Fee:	\$710.00
Total Claims:	17	- 20 =		X \$18 =	
Independent Claims:	1	- 3 =		X \$80 =	
Correspondence Address: BACON & THOMAS, PLLC 625 Slaters Lane, 4 th Floor Alexandria, VA 22314-1176				Multiple Dependent Claim (add \$270.00):	
				Subtotal:	710.00
				50% Reduction if Small Entity Status:	
Phone: 703-683-0500 Fax: 703-683-1080				Total:	710.00
Date:	Name:		Signature:		Reg. No.
April 11, 2001	Richard E. Fichter		<i>Richard E Fichter</i>		26,382

(16OCT00)

09029929 041101

UTILITY PATENT APPLICATION TRANSMITTAL

☐ DUPLICATE

Address to: Commissioner for Patents Box PATENT APPLICATION Washington, DC 20231	Attorney Docket No. HORN3008/EM/6686	First Named Inventor (or Identifier) Alex HORNG et al.	Total Pages 27
---	--	---	--------------------------

Transmitted herewith is a patent application under 37 CFR 1.53(b).

Entitled:	Brushless DC Motor With Axial Winding/Axial Air Gap
-----------	--

- ☒ 1. Submitted herewith are the following:
- 12 pages of specification, including Claims and Abstract
 - 6 sheet(s) of formal drawings (Figs. 1-6)
 - 17 claim(s).
 - 1 Oath/Declaration signed by each inventor.
 - 0 Preliminary Amendment.
 - 0 Information Disclosure Statement(s).
 - 0 pages of Form PTO-1449, and one copy of each document listed thereon.
 - 1 Assignment of the invention, Cover Sheet, and payment of the \$40.00 recordal fee.
 - 0 certified copy of application no. _____ filed in _____. Priority is claimed.
 - 1 check in the amount of \$750.00 including any assignment recordal fee.
- ☐ 2. SMALL ENTITY STATUS IS ASSERTED pursuant to 37 CFR 1.27 for this application.
- ☒ 3. The Commissioner is authorized to credit any overpayment and charge any deficiency in any fees required under 37 CFR 1.16 and/or 1.17, to Deposit Account No. 02-0200.
- ☐ 4. Insert before the first sentence of the specification: -- This application claims the benefit of provisional application number _____ filed _____. --
- ☐ 5. Insert before the first sentence of the specification: -- This application is a Continuation-in-part of nonprovisional application number _____ filed _____. --
- ☒ 6. Other: Application Data Sheet

The registered practitioners representing applicant(s) are J. Ernest Kenney, Reg. No. 19,179; Eugene Mar, Reg. No. 25,893; Richard E. Fichter, Reg. No. 26,382; Thomas J. Moore, Reg. No. 28,974; Joseph DeBenedictis, Reg. No. 28,502; and Benjamin E. Urcia, Reg. No. 33,805.

THE FILING FEE IS CALCULATED AS FOLLOWS:				Basic Fee:	\$710.00	
Total Claims:	17	- 20 =		X \$18 =		
Independent Claims:	1	- 3 =		X \$80 =		
Correspondence Address: BACON & THOMAS, PLLC 625 Slaters Lane, 4 th Floor Alexandria, VA 22314-1176				Multiple Dependent Claim (add \$270.00):		
				Subtotal:		710.00
				50% Reduction if Small Entity Status:		
Phone: 703-683-0500		Fax: 703-683-1080		Total:	710.00	
Date:	Name:			Signature:	Reg. No.	
April 11, 2001	Richard E. Fichter			<i>Richard E Fichter</i>	26,382	

(16OCT00)

J1040 U.S. PTO
 09/829929
 04/11/01

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

04/12/2001 JBALINAN 00000043 09829929

01 FC:101 710.00 DP

PTO-1556
(5/87)

*U.S. GPO: 2000-468-987/39595